



Update Legal Entity Identifier

This form can be used to provide a Legal Entity Identifier (LEI). Once completed, please return this form via email securely to Wealthtime Client Services at clientservices@wealthtime.com or using our secure email service.

Investor Details

Investor number:

Investor name:
(as recorded on the Wealthtime system)

Legal Entity name:
(as registered against the LEI registration)

Entity Type: ☐ Trust/Charity ☐ Corporate

Legal Entity Identifier:

Existing Trading Instructions

Where the Legal Entity Identifier was not provided, trading of Exchange Traded Instruments (ETIs) and/or portfolios containing ETIs may have been affected. Once this LEI is entered into our system, any trades that were previously pending, awaiting the LEI, will now complete. You can choose to either allow the trades to be placed at the prevailing prices or to cancel any trades that were pending provision of the LEI.

Please indicate how you would like us to treat these pending trades:

☐ Any pending trades should now be cancelled or ☐ Any pending trades should now be placed

Submitter Details

Name of person submitting this LEI:

First name:

Surname:

Role:

If you require this document in an alternative format please contact us.

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