



Asset Request Form

The Wealthtime Investments List provides details of the wide range of Assets available through the Wealthtime platform. This includes authorised U.K. domiciled (Unit Trusts and OEICs) and recognised off-shore funds (ICAVs, SICAVs). ETFs trading on the London Stock Exchange may be made available through our broker.

Please use the link to check first whether the Asset is already available through Wealthtime:

<https://www.wealthtime.com/advisers/wealthtime/wealthtime-investments/>

If the asset is not available on Wealthtime, please complete this form to request a new Asset that will be reviewed by the Wealthtime Asset Onboarding team. If the asset provider is not currently on the platform, the assets may take considerably longer to make available.

Please note that all fields must be completed. Failure to complete this form will result in the request being rejected.

Once completed, please email the form to the Asset Onboarding Team. Wealthtime will accept receipt of this form without a signature, provided it is submitted as an attachment (.pdf) to an email addressed to assetonboarding@wealthtime.com

Information

Date (dd/mm/yyyy):

Adviser name:

Firm:

Address:

Requested for use in Model Portfolios or as a Standalone investment or Both

Rationale for adding asset(s) to the platform - including expected (estimated) investment value in £s

Asset Information

Name of asset (or fund group) provider:

Is the asset manager/fund group already on the platform? ☐ Yes ☐ No

Full name of asset (including share class distinctions):

Type of asset (OEIC, UT, SICAV, ETF, Structured Product etc.):

Dealing currency (We only accept GBP share classes):

Unique identifiers – Citi Code/Sedol/ISIN:

Which Product Wrapper(s) is this required for?

(Novia GIA, ISA, Offshore Bond, SIPP)

Fund Manager Details

Fund group name:

Contact name:

Email address:

Phone number:

Group or Team mailbox:

Please confirm attachment of all available supporting information i.e. KIID, KID, Factsheet, Brochure, Prospectus, Supplements

If you require this document in an alternative format please contact us.

Wealthtime is a trading name of Novia Financial plc. Novia Financial plc is a private limited company registered in England and Wales. No. 06467886. Registered office: Cambridge House, Henry St, Bath, BA1 1JS. Novia Financial plc is authorised and regulated by the Financial Conduct Authority. FCA Number 481600.

The Novia Offshore Bond is issued by RL360 Insurance Company Limited ('RL360') (RL360 is authorised by the Isle of Man Financial Services Authority and registered in the Isle of Man. No. 053002C Registered office International House, Cooil Road, Douglas, Isle of Man IM2 2SP) and is marketed and administered by Novia Financial plc, trading as Wealthtime.