Non-Advised CRS and FATCA form

We've rebranded from Novia to Wealthtime. You'll notice that some of our products and services have kept the Novia name. So don't worry if you see both Wealthtime and Novia names in our documents. Wealthtime is a trading name of Novia Financial plc.

Please complete this form if you do not have a Financial Adviser.

This form should be completed if you hold a General Investment Account and you have recently become one of the below:

- A non-UK resident
- A non-UK tax resident
- A non-UK national
- A dual national (including UK national)

Tax regulations require us to collect information about each client's tax residency. In certain circumstances we may be obliged to share information about your account(s) with HMRC and this may be shared with other tax authorities in other jurisdictions.

Applications are accepted and clients remain with Wealthtime at our discretion.

We cannot accept an application for business from any US persons as defined in the Foreign Account Tax Compliance Act 2010, a federal law in the USA. This means citizen, resident, dual resident or passport holder of the USA. If you become a US person whilst on our platform we reserve the right to close your account.

Please provide certified copies of a passport or driving license for each investor along with this form.

You can send this either by:

Email: clientservices@wealthtime.com
Post: Wealthtime Client Services, PO Box 4328, Bath, BA1 0L

Investor Details

Investor number:			
Title:		Date of birth: (dd/mm/yyyy)	
Surname:			
Forename(s):			
Email address:			

Full permanent residential address including country and post code. (This should not be a PO box or care of address) including country and postcode.

Date you ceased receiving financial advice (dd/mm/yyyy):

Date of moving abroad (if applicable) (dd/mm/yyyy):

Are you a UK resident for tax purposes? ☐ Yes ☐ No

NINO:

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Investor Details (continued)

Please insert tax identification number and local tax authority for all countries the investor is registered for tax purposes.

Tax identification number	Name of local tax authority

Nationality (please list all nationalities):	
Country and place of birth:	
Full employer name:	
Annual Income:	
Occupation:	
Source of wealth (how funds have been acquired):	

Client Declaration

By submitting this form you are confirming the following:

- I/We declare that the information in this form is true and correct to the best of my/our knowledge.
- I/We agree to indemnify Wealthtime (a trading name of Novia Financial plc) against all such claims or losses, including additional tax charges and fines, in the event that the information provided proves to be incorrect.
- I/We understand that checks may be performed on this instruction to confirm details are correct.
- I/We declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.
- I/We undertake to advise Wealthtime promptly and provide an updated n form within 30 days regarding any changes required to any of the information provided.
- Where legally obliged to do so, Wealthtime will share this information with HMRC (UK tax authority) with the tax authority in the Investor(s) country of residence, as applicable.

Name:

Signature:

Date (dd/mm/yyyy):

If you require this document in an alternative format please contact us.