



# ISA Stocks and Shares Re-registration Authority

We're currently rebranding from Novia to Wealthtime. You'll notice that some of our products and services still use the name Novia while we're transitioning over to the new name. So don't worry if you see both Wealthtime and Novia names in our documents. Wealthtime is a trading name of Novia Financial plc.

This authority can be used for the Re-registration of funds listed on our Investments List only. To transfer equities listed on the London Stock Exchange, please use the equity transfer authority. You can sign this form digitally. Once completed, simply log in to Adviser Zone and send it to us via secure email. If you don't have access to our selected electronic signature providers, please sign the declaration below using a blue or black pen and scan the form before sending it to us by secure email. Alternatively, you can send the form by post to Wealthtime Client Services, PO Box 4328, Bath, BA1 0LR.

## Re-registration Authority

Please print one Re-registration authority for each manager you wish to Re-register from.

I confirm that by virtue of paragraph 6 of Schedule 19 of the Finance Act 1999, this transaction is exempt from Stamp Duty Reserve Tax. I authorise Wealthtime (a trading name of Novia Financial plc) to submit this re-registration authority to the manager detailed below and for the manager to execute the re-registration with immediate effect. I confirm that the re-registration of the assets listed below will not affect any change on the beneficial owners from or among the existing holders and is not for the consideration of money or monies' worth. I understand that, following the completion of the re-registration, Wealthtime will convert any rebate paying assets to the non-rebate paying share class.

## Registered Holder's Name

(please complete one authority for each broker)

### Registered Name of Holding

Mr  Mrs  Miss Other   Male  Female

Surname:

Forename(s):

Date of birth (dd/mm/yyyy):

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National Insurance number:

Failure to provide your correct National Insurance number can make your ISA invalid and you will lose the tax benefits.

### Residential Address

House number/name:

Street name:

District:

Town/city:

County:

Country:

Postcode:

## Name and Address of Existing Manager

|   |                      |
|---|----------------------|
| Manager's name:                         | <input type="text"/> |
| Building number/ name:                  | <input type="text"/> |
| Street name:                            | <input type="text"/> |
| District:                               | <input type="text"/> |
| Town/city:                              | <input type="text"/> |
| County:                                 | <input type="text"/> |
| Country:                                | <input type="text"/> |
| Postcode:                               | <input type="text"/> |
| Account number registered with manager: | <input type="text"/> |

## Assets to be Re-registered

| Full name of assets to be re-registered (all assets are to be re-registered in full) | Inc/Acc |
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|---|------------------------|
| Account number registered with the manager: | <input type="text"/>   |
| Amount contributed so far in this tax year: | £ <input type="text"/> |

 Please transfer any remaining Cash from the specified account to Wealthtime.

## Name and Address of Existing Manager

I authorise the manager shown on this authority to provide Wealthtime (a trading name of Novia Financial plc) with any information it may require and to re-register the assets detailed above to Novia (ISA) Nominee Limited with immediate effect. If I sign this declaration by electronic signature, I confirm that this method of signature is my agreement to be bound as if signed by my manuscript signature.

Applicant signature:

Applicant name (please print):

Date (dd/mm/yyyy):

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If you require this document in an alternative format please contact us.

Wealthtime is a trading name of Novia Financial plc. Novia Financial plc is a private limited company registered in England and Wales. No. 06467886. Registered office: Cambridge House, Henry St, Bath, BA1 1JS. Novia Financial plc is authorised and regulated by the Financial Conduct Authority. FCA Number 481600.