



FATCA/CRS for Trust Account Self-Certification

We're currently rebranding from Novia to Wealthtime. You'll notice that some of our products and services still use the name Novia while we're transitioning over to the new name. So don't worry if you see both Wealthtime and Novia names in our documents. Wealthtime is a trading name of Novia Financial plc.

This form is part of the account opening process and we require it to report tax information to HMRC. If the trust does not meet all the conditions of the UK declaration, we require this completed form. Please use on behalf of non-financial institutions such as family and charitable trusts. We reserve the right to return money to the Investor or source of any transfer if the requirements are not completed in full. We do not accept applications from US Persons/entities as per our terms and conditions.

We will accept receipt of this form without a signature, provided it is submitted through the Adviser Zone secure email service. If you choose to sign the completed form, please arrange for the original to be returned to us. If you need assistance completing this form, please contact the client services team on 0345 680 8000.

Investor Details

Investor number (if known):	<input type="text"/>
Name of trust	<input type="text"/>
Country of incorporation:	<input type="text"/>
Registration number:	<input type="text"/>
Legal entity identifier(LEI) number:	<input type="text"/>
Registered address (including postcode):	<input type="text"/>
NINO:	<input type="text"/>
Tax identification number:	<input type="text"/>
Name of local tax authority:	<input type="text"/>
Name of tax identification number (if not TIN):	<input type="text"/>
Correspondence address (if different):	<input type="text"/>
Postcode:	<input type="text"/>
Nature of trust:	<input type="text"/>
Source of wealth:	<input type="text"/>
Where is the trust resident for tax purposes:	<input type="text"/>

Settlor Details

Title:	<input type="text"/>	Date of birth (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Forename(s):	<input type="text"/>		
Surname:	<input type="text"/>		
Address (including postcode):	<input type="text"/>		
NINO:	<input type="text"/>		
Tax identification number:	<input type="text"/>		
Name of local tax authority:	<input type="text"/>		
Name of tax identification number (if not TIN):	<input type="text"/>		
Are you a UK resident for tax purposes?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Do you hold tax residency in another country? If so, please list:	<input type="text"/>		
Nationality (please list all nationalities):	<input type="text"/>		
Country and place of birth:	<input type="text"/>		

Beneficiary Details

Title:	<input type="text"/>	Date of birth (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Forename(s):	<input type="text"/>		
Surname:	<input type="text"/>		
Address (including postcode):	<input type="text"/>		

Settlor Details (Continued)

NINO:	<input type="text"/>
Tax identification number:	<input type="text"/>
Name of local tax authority:	<input type="text"/>
Name of tax identification number (if not TIN):	<input type="text"/>
Are you a UK resident for tax purposes?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Do you hold tax residency in another country? If so, please list:	<input type="text"/>
Nationality (please list all nationalities):	<input type="text"/>
Country and place of birth:	<input type="text"/>

Trustee Details

Trustee One

Title:	<input type="text"/>	Date of birth (dd/mm/yyyy):	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Forename(s):	<input type="text"/>								
Surname:	<input type="text"/>								
Address (including postcode):	<input type="text"/>								
NINO:	<input type="text"/>								
Tax identification number:	<input type="text"/>								
Name of local tax authority:	<input type="text"/>								
Name of tax identification number (if not TIN):	<input type="text"/>								
Are you UK resident for tax purposes?	<input type="checkbox"/> Yes	<input type="checkbox"/> No							
Do you hold tax residency in another country? If so, please list:	<input type="text"/>								

Trustee Details (Continued)

Nationality (please list all nationalities):

Country and place of birth:

Trustee Two

Title:

Date of birth (dd/mm/yyyy):

Forename(s):

Surname:

Address

(including postcode):

NINO:

Tax identification number:

Name of local tax authority:

Name of tax identification
number (if not TIN):

Are you a UK resident for
tax purposes?

☐

Yes

☐

No

Do you hold tax residency
in another country? If so, please list:

Nationality (please list all nationalities):

Country and place of birth:

Trustee Three

Title:	<input type="text"/>	Date of birth (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Forename(s):	<input type="text"/>		
Surname:	<input type="text"/>		
Address (including postcode):	<input type="text"/>		
NINO:	<input type="text"/>		
Tax identification number:	<input type="text"/>		
Name of local tax authority:	<input type="text"/>		
Name of tax identification number (if not TIN):	<input type="text"/>		
Are you a UK resident for tax purposes?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Do you hold tax residency in another country? If so, please list:	<input type="text"/>		
Nationality (please list all nationalities):	<input type="text"/>		
Country and place of birth:	<input type="text"/>		

Trustee Four

Title:	<input type="text"/>	Date of birth (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Forename(s):	<input type="text"/>		
Surname:	<input type="text"/>		
Address (including postcode):	<input type="text"/>		

NINO:	<input type="text"/>
Tax identification number:	<input type="text"/>
Name of local tax authority:	<input type="text"/>
Name of tax identification number (if not TIN):	<input type="text"/>
Are you a UK resident for tax purposes?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Do you hold tax residency in another country? If so, please list:	<input type="text"/>
Nationality (please list all nationalities):	<input type="text"/>
Country and place of birth:	<input type="text"/>

Declaration on behalf of the trust's investors

(This section must be completed regardless of submission method)

We will accept the receipt of this form without a signature, provided it is submitted through the Adviser Zone secure email service. If you choose to sign the completed form please arrange for the original to be returned to us. By submitting this form you are confirming the following:

- I declare that the information in this form is true and correct to the best of my knowledge.
- I agree to indemnify Wealthtime against all such claims or losses, including additional tax charges and fines, in the event that the information provided proves to be incorrect.
- I understand that checks may be performed on this instruction to confirm details are correct.
- I confirm that I have the investor's authority to submit this instruction on their behalf.
- I undertake to advise Wealthtime promptly and provide an updated self-certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete.
- Where legally obliged to do so, I hereby consent to Wealthtime sharing this information with HMRC (the UK tax authority) where applicable and I understand and accept that this information may then be shared with the tax authority in the country of residence.

Adviser name:

Position:

Firm name:

Firm FCA number:

Adviser's
signature:

If you are submitting by post,
you must sign here.

Date (dd/mm/yyyy):

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If you require this document in an alternative format please contact us.

Wealthtime is a trading name of Novia Financial plc. Novia Financial plc is a private limited company registered in England and Wales. No. 06467886. Registered office: Cambridge House, Henry St, Bath, BA1 1JS. Novia Financial plc is authorised and regulated by the Financial Conduct Authority. FCA Number 481600