

Stocks and Shares ISA Application Form

Before you fill out this form, please make sure you've read and understood our ISA key features document. Once you've decided it's the right product for you, please complete all sections.

Your details

You need to fill out this section, even if you've already given these details to us previously.

First names(s) in full	<input type="text"/>	Last name	<input type="text"/>
Date of birth (dd/mm/yyyy)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Permanent residential address	<input type="text"/>
National Insurance number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		<input type="text"/>
I've never had a National Insurance number (please tick box if applicable)	<input type="checkbox"/>		<input type="text"/>
Client number (if known)	<input type="text"/>		<input type="text"/>
		Postcode	<input type="text"/>

Please note: your residential address must be in the UK at the time the ISA is opened, and you must be resident in the UK to be eligible to subscribe. Please see the ISA declaration for more details.

New subscription details

If you'd like to subscribe for a Stocks and Shares ISA, please fill out the following sections, including the tax year you'd like your ISA to start in.

I apply to subscribe for a Stocks and Shares ISA for the tax year 20/20 and each subsequent tax year until further notice.

Subscription details

Single subscription (£)

Regular subscriptions (£)

Please indicate as appropriate. I wish to pay for my subscription:

from my Wealthtime Select GIA cash balance.

☐ Monthly ☐ Quarterly ☐ Half-yearly ☐ Yearly ☐

by bank transfer to Wealthtime Select

☐ Start date for regular subscriptions (dd/mm/yyyy)
or

0	3						
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1	7						
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If you want to make regular subscriptions to your ISA, please forward a completed Direct Debit mandate to us – a copy is available in the literature library at wealthtime.com Please allow 15 working days for us to set this up.

Existing ISA(s) to be transferred

Total number of ISAs to be transferred

Please complete an ISA transfer authority form for each existing ISA you wish to transfer.

Declaration

This declaration forms part of your ISA application and together with the Wealthtime Select terms and conditions will constitute a legally binding contract between you and Wealthtime Select. Please note that Wealthtime means Wealthtime Limited or Wealthtime Trustees Limited where appropriate, unless a specific company name is mentioned.

I declare that:

- to the best of my knowledge and belief, the information given on the ISA application form is correct and complete, and I will inform Wealthtime Limited if there are any changes in the information contained in this form.
- all subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I haven't subscribed, and will not subscribe, more than the overall subscription limits in total to a cash ISA, a Stocks and Shares ISA and an Innovative Finance ISA in the same tax year.
- I haven't subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I am a resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Wealthtime Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I understand if I'm not a resident for tax purposes in a tax year, that any subscriptions made in that tax year will have to be refunded. I understand that if I notify Wealthtime Select that I'm moving to a non-UK address, Wealthtime Select will continue to accept subscriptions for the tax year of departure unless I tell them I expect I won't be a resident for the tax year. Subscriptions can't be accepted for the following tax year and Wealthtime Select will be unable to accept any further subscriptions from me unless I declare that I remain a UK resident for tax purposes. (Guidance on the meaning of resident for tax purposes can be found on the HM Revenue & Customs website at hmrc.gov.uk/international/residence.htm).

I authorise Wealthtime Limited as the ISA manager to:

- set up and administer my Wealthtime Select ISA.
- arrange any transfer of an ISA that I may request from time to time.
- arrange for its nominee to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- make on my behalf any claims to relief from tax in respect of ISA investments.
- send copies of all statements issued in respect of my ISA and to disclose details of that ISA to my financial adviser as named on my Wealthtime client application (unless this has been amended by me as applicable).

I hereby consent to Wealthtime Limited obtaining details from my existing ISA manager and I declare that the ISA application form has been completed to the best of my knowledge and belief.

I acknowledge and accept the ISA key features and Wealthtime Select terms and conditions. I understand that the services provided don't constitute financial advice under the terms of the Financial Services and Markets Act 2000.

I also accept the fees detailed in the Wealthtime Select fees schedule.

Your signature

Full name

Date (dd/mm/yyyy)

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This section must be completed by your financial adviser

I have given advice to my client about their Wealthtime Select product(s). I have provided them with copies of: key features document, key features illustration, key investor information document, as are relevant to this transaction.

Your signature

Position

Name

Date (dd/mm/yyyy)

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Details of introducing firm (or sole trader)

Full name of
regulated firm
(or sole trader)

FCA reference
number

ISA transfer authority form

I wish to transfer my existing ISA into a Wealthtime Select Stocks and Shares ISA.

Personal details

Your details

Mr <input type="radio"/>	Mrs <input type="radio"/>	Ms <input type="radio"/>	Miss <input type="radio"/>	Other <input type="radio"/>	If 'other' please state	<input type="text"/>
First name(s) in full				Last name		<input type="text"/>
Date of birth (dd/mm/yyyy)				Permanent residential address		<input type="text"/>
National Insurance number						<input type="text"/>
						<input type="text"/>
						<input type="text"/>
				Postcode		<input type="text"/>

Account to be transferred

Name of ISA	<input type="text"/>	Address of transferring ISA provider	<input type="text"/>
ISA account number	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
Sort code (if applicable)	<input type="text"/>		<input type="text"/>
Name of transferring ISA provider	<input type="text"/>	Postcode	<input type="text"/>

Transfer details

I want to transfer my existing:

Cash ISA

☐

Please indicate as appropriate. I'd like you to:

Stocks and Shares ISA

☐

sell the assets held in my existing ISA and transfer the cash proceeds to my Wealthtime Select ISA, or

☐

Innovative Finance ISA

☐

arrange for the re-registration of assets held in my existing ISA to my Wealthtime Select ISA*.

☐

*Any re-registration of investments into your Wealthtime Select ISA will be subject to your existing ISA manager allowing this type of transfer and your investments being available on the Wealthtime Select funds list.

If it's not possible to re-register my existing ISA investments, please arrange for these to be sold and transferred in cash:

Yes

☐

No

☐

Amount to be transferred

Please indicate as appropriate:

All current year subscriptions

☐

All previous years' subscriptions, or

☐

Specified amount (£)

Transfer authorisation

I authorise you to:

- transfer the proceeds of the above ISA, as soon as reasonably practical, to my Wealthtime Select ISA.
- transfer the investments of the above ISA, as soon as reasonably practical, to my Wealthtime Select ISA.
- provide Wealthtime Select with all relevant information relating to my ISA including, but not limited to, transaction and dividend history and details of the current portfolio and its value.

Signature

Date (dd/mm/yyyy)

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If you require this document in an alternative format please contact us.

Wealthtime and Wealthtime Select are trading names of Wealthtime Limited. Wealthtime Limited is a limited company registered in England and Wales. No. 06016480. Registered Office: 1 London Road Office Park, London Road, Salisbury SP1 3HP. Wealthtime Limited is authorised and regulated by the Financial Conduct Authority. FCA Number 468461.

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